

發文方式：紙本遞送

檔 號：

保存年限：

柏瑞證券投資信託股份有限公司 函

地址：104臺北市民權東路2段144號10樓

承辦人：劉惠晴(SA)

電話：2516-7883 #75727

電子信箱：Alice.Liu@pinebridge.com

受文者：滙豐(台灣)銀行信託及投資作業部

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速別：普通件

密等及解密條件或保密期限：

附件：如後附

主旨：謹通知本公司總代理之柏瑞環球基金系列擬修訂公開說明書一事，請查照。

說明：

一、本公司總代理之柏瑞環球基金系列擬修訂公開說明書，本次修正內容主要重點如下：

有關「柏瑞環球基金－柏瑞亞洲（日本除外）小型公司股票基金」（下稱「子基金」）副投資經理之委任

（一）PineBridge Investments Singapore Limited（「PBIS」）

將被委任為子基金的副投資經理。

（二）與子基金現任管理實體屬於同一集團的PBIS將被委任為子基金的副投資經理。副投資經理的委任被認為將使子基金能夠利用副投資經理在亞洲市場的投資專長，並更充分地利用柏瑞集團內可用的投資管理資源。因此，該委任被認為將使子基金的單位持有人整體受益。

（三）子基金副投資經理的委任不會影響適用於子基金的特點及風險。除副投資經理的委任外，子基金的運作及／或管理方式不會出現任何變動，而委任副投資經理不會對子基金的現有投資者產生其他影響。此外，委任後管理子基金的費用水平或成本不會出現任何變動。此次委任不會對現有單位持有人的權利及權益造成重大損害。

二、詳細內容敬請參閱附件基金經理公司於2022年12月15日寄發予子基金單位持有人之通知信。

三、該等修訂將於2023年1月16日當日生效，並於最新版之公開



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說明書中載列。最新版之公開說明書可於境外基金資訊觀測站 (<http://announce.fundclear.com.tw>) 中下載或可向本公司索取。



正本：臺灣中小企業銀行信託部、日盛商業銀行個人理財處、陽信銀行信託部、兆豐國際商業銀行信託部、星展(台灣)商業銀行信託部、安泰商業銀行理財產品部、滙豐(台灣)銀行信託及投資作業部、凱基商業銀行信託處、瑞興銀行信託部、中國信託商業銀行股份有限公司、日盛證券股份有限公司、富邦人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、合作金庫人壽保險股份有限公司、台灣人壽保險股份有限公司、合作金庫商業銀行信託部、遠東國際商業銀行股份有限公司、渣打國際商業銀行股份有限公司、第一商業銀行股份有限公司、臺灣銀行股份有限公司信託部、聯邦商業銀行股份有限公司、玉山商業銀行股份有限公司、彰化商業銀行股份有限公司、華泰商業銀行股份有限公司、臺灣新光商業銀行股份有限公司信託部、國泰世華商業銀行股份有限公司、台北富邦商業銀行股份有限公司、永豐商業銀行股份有限公司、上海商業儲蓄銀行股份有限公司、元大商業銀行股份有限公司、華南商業銀行股份有限公司、京城商業銀行股份有限公司、台中商業銀行股份有限公司、三信商業銀行股份有限公司、高雄銀行股份有限公司、板信商業銀行股份有限公司、台新國際商業銀行股份有限公司、凱基證券股份有限公司、兆豐證券股份有限公司、統一綜合證券股份有限公司、元富證券股份有限公司、群益金鼎證券股份有限公司、永豐金證券股份有限公司、華南永昌綜合證券股份有限公司、基富通證券股份有限公司、富邦綜合證券股份有限公司、元大證券股份有限公司、容海國際證券投資顧問股份有限公司、鉅亨證券投資顧問股份有限公司、中租證券投資顧問股份有限公司、萬寶證券投資顧問股份有限公司、南山人壽保險股份有限公司、遠雄人壽保險事業股份有限公司、安聯人壽保險股份有限公司、中國人壽保險股份有限公司、全球人壽保險股份有限公司、宏泰人壽保險股份有限公司、國泰人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、台新人壽保險股份有限公司

副本：陽信銀行財富管理部、星展(台灣)商業銀行財富管理部、滙豐(台灣)銀行財富管理部、瑞興銀行財管部、臺灣新光商業銀行股份有限公司

總經理 董俊男

日期：2022年12月15日

此乃重要函件，務請閣下即時處理。如閣下對本函件的内容或應採取的行動有任何疑問，應聯絡閣下的客戶服務代表、柏瑞於當地的辦事處或尋求獨立專業意見。

致柏瑞環球基金：柏瑞亞洲（日本除外）小型公司股票基金（下稱「子基金」）的所有投資者

有關：柏瑞環球基金（「本基金」）
對本基金的若干子基金作出建議變更的通知

致投資者：

謹此致函通知閣下，該等子基金的附錄（各稱「附錄」）及適用的當地說明文件（統稱「發售文件」）將作出多項變更。對發售文件作出的建議變更（「建議變更」）於本函件附件一中概述。

建議變更無需閣下採取任何行動。對發售文件作出的變更預期將於2023年1月16日當日或前後（「生效日期」）生效。經更新的發售文件（對於香港投資者，連同該等子基金的經修訂產品資料概要）將於生效日期當日或前後由PineBridge Investments Ireland Limited（「基金經理」）或本基金的行政及過戶代理人State Street Fund Services (Ireland) Limited，以及本基金的香港代表（適用於香港居民單位持有人）柏瑞投資亞洲有限公司及本基金的新加坡代表（適用於新加坡居民單位持有人）PineBridge Investments Singapore Limited的辦事處免費提供。香港居民單位持有人請留意，香港代表的商業位址已改變，詳情請參考下文的聯絡資料。

草擬及實行建議變更的法律及行政費用將由該等子基金各自承擔，而基金經理認為這些費用就相關該等子基金的當前資產淨值而言並不重大。

經更新的發售文件（對香港投資者而言，連同該等子基金的經修訂產品資料概要）亦可於www.pinebridge.com（香港投資者請訪問www.pinebridge.com.hk¹）獲取。

除另有訂明外，本函件所用詞彙與發行章程中所界定者具相同涵義。

本函件所載資料乃根據事實，且並無遺漏任何可能影響該等資料涵義之內容。基金經理之董事就本函件所載之資料於刊發日期當日的準確性負全部責任。

¹該網址未經證監會審閱。香港投資者亦應注意，該網站www.pinebridge.com並非專為香港居民而設，並可能包含未經證監會認可之子基金的資料。

如欲索取更多資訊，請聯絡：

居於歐洲／英國的投資者，請聯絡 PineBridge Investments Ireland Limited，地址為 The Observatory Building, 4th Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland，電話：+353 1 697 3919。

居於香港的投資者，請聯絡柏瑞投資亞洲有限公司，地址為香港金鐘金鐘道 88 號太古廣場二座 1411 室，電話：+852 3970 3938。

居於新加坡的投資者，請聯絡 PineBridge Investments Singapore Limited，地址為 One George Street, 1 George Street, Unit 21-06, Singapore 049145，電話：+65 6571 9360。

此致

董事

代表

PineBridge Investments Ireland Limited

附件一

附錄的特定變更

請注意，以下變更不會導致相關該等子基金的投資目標及政策發生任何變化。

(I) 副投資經理委任 – 柏瑞亞洲（日本除外）小型公司股票基金

PineBridge Investments Singapore Limited（「**PBIS**」）將被委任為該等子基金的副投資經理。

與該等子基金現任管理實體屬於同一集團的 PBIS 將被委任為該等子基金的副投資經理。副投資經理的委任被認為將使該等子基金能夠利用副投資經理在亞洲市場的投資專長，並更充分地利用柏瑞集團內可用的投資管理資源。因此，該委任被認為將使該等子基金的單位持有人整體受益。

請注意，該等子基金副投資經理的委任不會影響適用於該等子基金的特點及風險。除副投資經理的委任外，該等子基金的運作及／或管理方式不會出現任何變動，而委任副投資經理不會對該等子基金的現有投資者產生其他影響。此外，委任後管理該等子基金的費用水平或成本不會出現任何變動。此次委任不會對現有單位持有人的權利及權益造成重大損害。

因副投資經理的委任而不擬再投資該等子基金的單位持有人，可自本函日期起直至生效日期止，按發售文件所載的通常方式，贖回或轉換其持有的基金單位，而無需支付任何贖回或轉換費用。

(II) [略]

(III) [略]

Date: 15 December 2022

This letter is important and requires your immediate attention. If you have any questions regarding the content or action to be taken, please contact your client services representative, local PineBridge office or seek independent professional advice.

To all investors of PineBridge Global Funds: PineBridge Asian High Yield Total Return Bond Fund and PineBridge Asia ex Japan Small Cap Equity Fund (each a "Sub-Fund" and together the "Sub-Funds")

**RE: PINEBRIDGE GLOBAL FUNDS (THE "FUND")
NOTIFICATION OF PROPOSED CHANGES TO CERTAIN SUB-FUNDS OF THE FUND**

Dear Investor,

The purpose of this letter is to notify you of a number of changes that will be made to the supplements for the Sub-Funds (each a "Supplement") and the applicable local covering documents (together the "Offering Documents"). The proposed changes being made to the Offering Documents are summarised in Appendix 1 to this letter (the "Proposed Changes").

The Proposed Changes do not require any action on your part. Changes to the Offering Documents are expected to become effective on or around 16 January 2023 (the "Effective Date"). The updated Offering Documents (and for Hong Kong investors, together with the revised Product Key Facts Statement of the Sub-Funds) will be made available, free of charge, on or around the Effective Date from PineBridge Investments Ireland Limited (the "Manager") or the Fund's Administrator and Transfer Agent, State Street Fund Services (Ireland) Limited, and from the offices of PineBridge Investments Asia Limited, the Fund's Hong Kong representative (for Hong Kong resident Unitholders) and PineBridge Investments Singapore Limited, the Fund's Singapore representative (for Singapore resident Unitholders). For Hong Kong resident Unitholders, please note that the business address of the Hong Kong representative has changed and for details, please refer to the contact information below.

The legal and administrative costs of drafting and implementing the Proposed Changes will be borne by the respective Sub-Funds and the Manager considers the costs not be significant in terms of the current net asset value of the relevant Sub-Funds.



The updated Offering Documents (and for Hong Kong investors together with the revised Product Key Facts Statement of the Sub-Funds) will also be available on www.pinebridge.com (and for Hong Kong investors on www.pinebridge.com.hk¹).

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

The information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors of the Manager accept full responsibility for the information contained in this letter as being accurate at the date of publication.

For further information, please do not hesitate to contact:

Investors resident in Europe/UK, please contact PineBridge Investments Ireland Limited, The Observatory Building, 4th Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland Tel: +353 1 697 3919.

Investors resident in Hong Kong, please contact PineBridge Investments Asia Limited, Suite 1411, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong, Tel: +852 3970 3938.

Investors resident in Singapore, please contact PineBridge Investments Singapore Limited, One George Street, 1 George Street, Unit 21-06, Singapore 049145, Tel: +65 6571 9360.

Yours sincerely,

A handwritten signature in black ink, appearing to read "Michael J. Jurey".

Director
for and on behalf of
PineBridge Investments Ireland Limited

¹ The website has not been reviewed by the SFC. Hong Kong investors should also note that the website www.pinebridge.com is not specifically directed at Hong Kong residents and may contain information of sub-funds not authorised by the SFC.

APPENDIX 1

Supplement Specific Changes

Please note that the following changes do not result in any change to the Investment Objectives and Policies of the relevant Sub-Funds.

(i) Sub-Investment Manager Appointment - PineBridge Asian High Yield Total Return Bond Fund and PineBridge Asia ex Japan Small Cap Equity Fund

PineBridge Investments Singapore Limited (“PBIS”) will be appointed to act as sub-investment manager of the Sub-Funds.

PBIS, which belongs to the same group as the current management entity of the Sub-Funds, will be appointed to act as sub-investment manager of the Sub-Funds. It is considered that the appointment of the sub-investment manager will enable the Sub-Funds to tap into the strength of the sub-investment manager’s investment expertise in Asian markets and more fully utilise the investment management resources available within the PineBridge group. Accordingly, it is considered that the appointment will benefit the Unitholders of the Sub-Funds as a whole.

Please note that the appointment of a sub-investment manager of the Sub-Funds will not impact the features and risks applicable to the Sub-Funds. Other than the appointment of a sub-investment manager, there will be no change to the operation and/or manner in which the Sub-Funds are managed and there will be no other effect on existing investors of the Sub-Funds as a result of the appointment of a sub-investment manager. Further, there will be no change to the fee level or cost in managing the Sub-Funds following the appointment. The rights and interests of existing Unitholders will not be materially prejudiced as a result of this appointment.

Unitholders who no longer wish to invest in the Sub-Funds due to the appointment of the sub-investment manager may redeem or switch their unitholding free of any redemption or switching charge from the date of this letter up until the Effective Date in the usual manner as provided in the Offering Documents.

(ii) Asia Pacific Region Update - PineBridge Asian High Yield Total Return Bond Fund

Indonesia and Macau will be added to the list of countries included as examples in the definition of “Asia Pacific Region” in the “Sub-Fund Characteristics” section of the Supplement for the PineBridge Asian High Yield Total Return Bond Fund. This is a clarificatory update and does not amount to a material change to the Sub-Fund, will not result in any material change or increase in the overall risk profiles of the Sub-Fund and does not have a material adverse impact on

Unitholders' rights or interests (including changes that may limit the Unitholders' ability in exercising their rights).

(iii) VAG Update - PineBridge Asian High Yield Total Return Bond Fund

The "Investment Policy" section of the Supplement for the PineBridge Asian High Yield Total Return Bond Fund will be updated to clarify that (i) the Sub-Fund will not invest in any bonds rated CCC+ or below and (ii) any mortgage-backed securities and asset-backed securities invested in shall be rated above BB+ (by Standard & Poor's or equivalent by Moody's or other rating agency). Any such bonds or securities which fall below these minimum required ratings following purchase will not exceed 3% of the Net Asset Value of the Sub-Fund. This is a clarificatory update to ensure compliance with German "VAG" regulation on supervision of insurance undertakings and does not amount to any material or actual change to the investment policy of the Sub-Fund in practice, will not result in any material change or increase in the overall risk profiles of the Sub-Fund and does not have a material adverse impact on Unitholders' rights or interests (including changes that may limit the Unitholders' ability in exercising their rights).