

## 施羅德證券投資信託股份有限公司 函

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受文者：如行文單位

發文日期：中華民國112年8月18日

發文字號：施羅德業字第1120000142號

速別：普通件

密等及解密條件或保密期限：

附件：境外基金公司致股東通知信

主旨：通知本公司總代理之「施羅德環球基金系列－瑞士股票」  
及「施羅德環球基金系列－瑞士中小型股票」（以下合稱  
「本基金」）之投資政策乙事，詳後說明，請 查照。

說明：

- 一、依據境外基金公司通知，本基金將自2023年9月21日（「生效日」）起變更投資政策，有關此變更詳情及本基金各級別代碼資訊，請參閱附件一「境外基金公司致股東通知信」。
- 二、如 貴公司投資人無意於上述變更生效後繼續持有本基金，可於2023年9月20日下午5時交易截止時間前進行贖回或轉換，境外基金公司將依據公開說明書規定免費執行贖回或轉換指示。
- 三、謹請 查照轉知。

正本：法商法國巴黎銀行台北分公司、高雄銀行信託部、星展(台灣)商業銀行股份有限公司、彰化商業銀行股份有限公司、凱基商業銀行股份有限公司、中國信託商業銀行股份有限公司個人信託部、國泰世華商業銀行股份有限公司信託部、玉山商業銀行股份有限公司、安泰商業銀行股份有限公司、合作金庫商業銀行、第一商業銀行股份有限公司、遠東國際商業銀行股份有限公司、台北富邦商業銀行股份有限公司、華南商業銀行信託部、香港上海匯豐銀行股份有限公司台北分公司、華泰商業銀行股份有限公司、京城商業銀行股份有限公司、臺灣土地銀行股份有限公司、兆豐國際商業銀行股份有限公司、板信商業銀行股份有限公司、渣打國際商業銀行股份有限公司、上海商業儲蓄銀行股份有限公司、永豐商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司、陽信商業銀行股份有限公司、台中商業銀行股份有限公司、臺灣中小企業銀行股份有限公司、台新國際商業銀行股份有限公司、聯邦商業銀行股份有限公司、瑞士商瑞士銀行股份有限公司台北分公司、臺灣銀行股份有限公司、

副本：

線

總經理 謝誠晃

本信函為境外基金公司致股東通知信之中文簡譯摘要，僅供台灣投資人參考，文義如與英文版股東通知信有任何差異或不一致的地方，請以英文版本為準。

2023 年 8 月 18 日

親愛的股東：

施羅德環球基金系列（下稱「本公司」）－ 瑞士股票/瑞士中小型股票（下稱「本基金」）

我們謹通知您，本基金將自 2023 年 9 月 21 日（下稱「生效日」）變更其投資政策。根據《永續金融揭露規則》(SFDR) 第 8 條的規定，其具有約束力的環境和/或社會特徵將從維持整體永續性評分高於基準變更為維持正數的絕對（高於零）永續性評分。

## 背景與原因

基金的永續性評分是使用施羅德專屬工具計算相較於相關基準的分數。然而，該工具的變動會影響醫療保健行業的公司，從而影響基準的永續性評分；在這種情況下，為了使基金維持整體永續性評分高於基準，則需要變更基金的投資策略。我們認為改變現有的投資策略並不符合股東的最佳利益。

基金投資政策變更的完整詳情包含在本函的附錄中。根據 SFDR 和歐盟執委會授權法規 (EU) 2022/1288 規定，這些變化亦將反映在公開說明書附錄 IV 之締約前揭露文件中。

投資政策變更後，基金的投資風格、投資理念、投資策略以及基金的運作及/或管理方式將不會有其他變動。

此項變動對基金風險/報酬狀況的影響程度並不重大。

基金的所有其他主要特徵，包括相關風險指標和費用，將維持不變。

## 贖回或將您的股份轉換至其他施羅德基金

我們希望在此等更改後，閣下仍將選擇投資於本基金，但如閣下有意在更改生效前將閣下在本基金的持股贖回或轉換至本公司其他經主管機關核准銷售之基金商品，則閣下可於 2023 年 9 月 20 日（包括該日在內）下午 5 時（香港時間）交易截止時間前任何時間進行有關贖回或轉換。

請確保閣下的贖回或轉換指示於此截止時間前送抵股務代理(HSBC 香港)。我們將根據本公司的公開說明書條款免費執行閣下的贖回或轉換指示，惟在某些國家，當地付款代理人、往來銀行或類似代理人可收取交易費用。當地代理人亦可實施一個較上述時間為早的當地交易截止時間，故請與該等代理人確定，以確保閣下的指示可於上述交易截止時間前送抵股務代理(HSBC 香港)。

閣下可於 [www.schroders.lu](http://www.schroders.lu) 取得更新的本基金相關股份級別之重要投資人資訊文件（KIID）及公開說明書。

如閣下有任何疑問，或想要知道更多施羅德產品之資訊，請聯絡施羅德投信或致電客服專線：(02) 8723 6888查詢。

**董事會**

謹啟

## 附錄

修訂文字請詳下表中劃線標示內容。

(以下中譯文字如與中文版公開說明書有差異，請以最新中文版公開說明書內容為準)

### 施羅德環球基金系列 — 瑞士股票 Schroder ISF Swiss Equity

變更前投資政策	變更後投資政策
<p>本基金採主動管理且將至少三分之二的資產投資於瑞士公司的股票和股權相關證券。此指通常持有少於 50 間公司。</p> <p>本基金亦可將至多三分之一資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股權證及貨幣市場之投資，和持有現金（但須受到附件 I 所載之限制）。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生工具。</p> <p>依投資經理人的評等標準，本基金之永續性評分整體維持高於 Swiss Leaders Index。有關實現此目標所使用的投資流程，詳情請見基金特色一節。</p> <p>本基金不會直接投資於超過本基金網頁「永續性資訊」中所列限制之若干活動、產業或發行人集團，詳見 <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>。</p>	<p>本基金採主動管理且將至少三分之二的資產投資於瑞士公司的股票和股權相關證券。此指通常持有少於 50 間公司。</p> <p>本基金亦可將至多三分之一資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股權證及貨幣市場之投資，和持有現金（但須受到附件 I 所載之限制）。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生工具。</p> <p>依投資經理人的評等系統，<u>本基金維持正數的絕對永續性評分</u>。有關實現此目標所使用的投資流程，詳情請見基金特色一節。</p> <p>本基金不會直接投資於超過本基金網頁「永續性資訊」中所列限制之若干活動、產業或發行人集團，詳見 <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>。</p>

### 施羅德環球基金系列 — 瑞士中小型股票 Schroder ISF Swiss Small & Mid Cap Equity

變更前投資政策	變更後投資政策
<p>本基金採主動管理且將至少三分之二的資產投資於瑞士中小型公司的股票和股權相關證券。瑞士中小型公司為購入時其市值是瑞士股票市場中市值至少的 30% 之公司。</p> <p>本基金亦可將至多三分之一資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股權證及貨幣市場之投資，和持有現</p>	<p>本基金採主動管理且將至少三分之二的資產投資於瑞士中小型公司的股票和股權相關證券。瑞士中小型公司為購入時其市值是瑞士股票市場中市值至少的 30% 之公司。</p> <p>本基金亦可將至多三分之一資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股權證及貨幣市場之投資，和持有現</p>

<p>金(但仍須受到附件 I 所載之限制)。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生工具。</p> <p>依投資經理人的評等標準，本基金之永續性評分整體維持高於 Swiss Performance Index Extra。有關實現此目標所使用的投資流程，詳情請見基金特色一節。</p> <p>本基金不會直接投資於超過本基金網頁「永續性資訊」中所列限制之若干活動、產業或發行人集團，詳見 <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>。</p>	<p>金(但仍須受到附件 I 所載之限制)。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生工具。</p> <p>依投資經理人的評等標準系統，<u>本基金維持正數的絕對永續性評分</u>。有關實現此目標所使用的投資流程，詳情請見基金特色一節。</p> <p>本基金不會直接投資於超過本基金網頁「永續性資訊」中所列限制之若干活動、產業或發行人集團，詳見 <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>。</p>
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受影響之基金級別 ISIN code (下表僅列示台灣註冊之級別)

#### 施羅德環球基金系列－瑞士股票 Schroder ISF Swiss Equity

基金名稱/級別	幣別	ISIN Code
施羅德環球基金系列－瑞士股票(瑞士法郎)A1-累積	瑞士法郎	LU0133713858
施羅德環球基金系列－瑞士股票(瑞士法郎)C-累積	瑞士法郎	LU0106244444
施羅德環球基金系列－瑞士股票(瑞士法郎)A-累積	瑞士法郎	LU0106244287

#### 施羅德環球基金系列－瑞士中小型股票 Schroder ISF Swiss Small & Mid Cap Equity

基金名稱/級別	幣別	ISIN Code
施羅德環球基金系列－瑞士中小型股票(瑞士法郎)A1-累積	瑞士法郎	LU0149538414
施羅德環球基金系列－瑞士中小型股票(瑞士法郎)A-累積	瑞士法郎	LU0149524034

**18 August 2023**

Dear Shareholder,

**Schroder International Selection Fund (the "Company") – Swiss Equity / Swiss Small & Mid Cap Equity / Sustainable Swiss Equity (the "Funds")**

We are writing to advise you that with effect from 21 September 2023 (the "Effective Date") the Funds' investment policies will be updated. Their binding environmental and/or social characteristic(s), within the meaning of Article 8 of the Sustainable Finance Disclosure Regulation (SFDR), will change from maintaining a higher overall sustainability score than a benchmark to maintaining a positive absolute (above zero) sustainability score.

**Background and rationale**

The Funds' sustainability scores are calculated against the relevant benchmarks using Schroders' proprietary tool. However, changes to this tool affecting healthcare exposed companies and consequently the benchmarks' sustainability scores would require a change to the Funds' investment strategies in order to continue to maintain a higher overall sustainability score than the benchmark. We do not believe that changing the existing investment strategies is in the best interests of shareholders.

Full details of changes to the Funds' investment policies is included as an appendix to this letter. The changes will also be reflected in the Pre-contractual Disclosures of the Funds, as provided in Appendix IV of the prospectus, in accordance with SFDR and Commission Delegated Regulation (EU) 2022/1288.

There are no other changes to the Funds' investment style, investment philosophy, investment strategy, and the operation and/or manner in which the Fund is being managed following these changes.

The extent of the change to the risk/reward profiles of the Funds as a result of these changes is non-significant.

All other key features of the Funds, including the relevant risk indicators and fees, will remain the same.

**Redeeming or switching your shares to another Schroders fund**

We hope that you will choose to remain invested in the Fund following these changes, but if you do wish to redeem your holding in the Fund or to switch into another of the Company's sub-funds before the Effective Date you may do so at any time up to and including deal cut-off on 20 September 2023. Please ensure that your redemption or switch instruction reaches HSBC Continental Europe, Luxembourg ("HSBC") before this deadline. HSBC will execute your redemption or switch instructions in accordance with the provisions of the Company's prospectus, free of charge, although in some countries local paying agents, correspondent banks or similar agents might charge transaction fees. Local agents might also have a local deal cut-off which is earlier than that described above, so please check with them to ensure that your instructions reach HSBC before the deal cut-off given above.

You can find the Fund's updated key information document (the KID) for the relevant share class and the Company's Prospectus at [www.schroders.lu](http://www.schroders.lu).

If you have any questions or would like more information about Schroders' products please visit [www.schroders.com](http://www.schroders.com) or contact your local Schroders office, your usual professional adviser, or Schroder Investment Management (Europe) S.A. on (+352) 341 342 202.

Yours faithfully,

**The Board of Directors**



## Appendix

### Investment policy changes

New wording is shown in bold. Removed wording is shown as strikethrough text.

#### Schroder ISF Swiss Equity

Current investment policy	New investment policy
<p>The Fund is actively managed and invests at least two-thirds of its assets in a concentrated range of equity and equity related securities of Swiss companies. This means typically holding fewer than 50 companies.</p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p>The Fund maintains a higher overall sustainability score than the Swiss Leaders Index, based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage, accessed via <a href="https://www.schroders.com/en/lu/private-investor/gfc">https://www.schroders.com/en/lu/private-investor/gfc</a></p>	<p>The Fund is actively managed and invests at least two-thirds of its assets in a concentrated range of equity and equity related securities of Swiss companies. This means typically holding fewer than 50 companies.</p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p><del>The Fund maintains a higher overall sustainability score than the Swiss Leaders Index, based on the Investment Manager's rating criteria.</del> <b>The Fund maintains a positive absolute sustainability score, based on the Investment Manager's rating system.</b> More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage, accessed via <a href="https://www.schroders.com/en/lu/private-investor/gfc">https://www.schroders.com/en/lu/private-investor/gfc</a></p>

#### Schroder ISF Swiss Small & Mid Cap Equity

Current investment policy	New investment policy
<p>The Fund is actively managed and invests at least two-thirds of its assets in equity and equity related securities of small and mid-sized Swiss companies. These are companies which, at the time of purchase, are considered to be in the</p>	<p>The Fund is actively managed and invests at least two-thirds of its assets in equity and equity related securities of small and mid-sized Swiss companies. These are companies which, at the time of purchase, are considered to be in the bottom 30%</p>

Current investment policy	New investment policy
<p>bottom 30% by market capitalisation of the Swiss equities market.</p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p>The Fund maintains a higher overall sustainability score than the Swiss Performance Index Extra, based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage, accessed via <a href="https://www.schroders.com/en/lu/private-investor/gfc">https://www.schroders.com/en/lu/private-investor/gfc</a></p>	<p>by market capitalisation of the Swiss equities market.</p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p><del>The Fund maintains a higher overall sustainability score than the Swiss Performance Index Extra, based on the Investment Manager's rating criteria.</del> <b>The Fund maintains a positive absolute sustainability score, based on the Investment Manager's rating system.</b> More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage, accessed via <a href="https://www.schroders.com/en/lu/private-investor/gfc">https://www.schroders.com/en/lu/private-investor/gfc</a></p>

### Schroder ISF Sustainable Swiss Equity

Current investment policy	New investment policy
<p>The Fund is actively managed and invests at least two-thirds of its assets in a concentrated range of equity and equity related securities of Swiss companies.</p> <p>The Fund maintains a higher overall sustainability score than the Swiss Leaders Index, based on the Investment Manager's rating system. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage, accessed via <a href="https://www.schroders.com/en/lu/private-investor/gfc">https://www.schroders.com/en/lu/private-investor/gfc</a></p>	<p>The Fund is actively managed and invests at least two-thirds of its assets in a concentrated range of equity and equity related securities of Swiss companies.</p> <p><b>The Fund maintains a positive absolute sustainability score, based on the Investment Manager's rating system.</b> More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage, accessed via <a href="https://www.schroders.com/en/lu/private-investor/gfc">https://www.schroders.com/en/lu/private-investor/gfc</a></p>

Current investment policy	New investment policy
<p>The Fund invests in companies that have good governance practices, as determined by the Investment Manager's rating criteria (please see the Fund Characteristics section for more details).</p> <p>The Investment Manager may also engage with companies held by the Fund to challenge identified areas of weakness on sustainability issues. More details on the Investment Manager's approach to sustainability and its engagement with companies are available on the webpage <a href="https://www.schroders.com/en/lu/private-investor/strategic-capabilities/sustainability/disclosures">https://www.schroders.com/en/lu/private-investor/strategic-capabilities/sustainability/disclosures</a></p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p>	<p>The Fund invests in companies that have good governance practices, as determined by the Investment Manager's rating criteria (please see the Fund Characteristics section for more details).</p> <p>The Investment Manager may also engage with companies held by the Fund to challenge identified areas of weakness on sustainability issues. More details on the Investment Manager's approach to sustainability and its engagement with companies are available on the webpage <a href="https://www.schroders.com/en/lu/private-investor/strategic-capabilities/sustainability/disclosures">https://www.schroders.com/en/lu/private-investor/strategic-capabilities/sustainability/disclosures</a></p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p>

ISIN codes of the share classes impacted by this change:

### Schroder ISF Swiss Equity

Share class	Share class currency	ISIN code
A Accumulation	CHF	LU0106244287
A Distribution	CHF	LU0063575806
A1 Accumulation	CHF	LU0133713858
B Accumulation	CHF	LU0106244360
B Distribution	CHF	LU0063575988
C Accumulation	CHF	LU0106244444
C Distribution	CHF	LU0063576010
IZ Accumulation	CHF	LU2016220936
Z Accumulation	CHF	LU1281938040
Z Distribution	CHF	LU1281938396
A Accumulation	EUR Hedged	LU1015430058
Z Accumulation	EUR Hedged	LU1281938552
A Accumulation	GBP Hedged	LU1015430306
Z Accumulation	GBP Hedged	LU1281939014
A Accumulation	USD Hedged	LU1015430215
Z Accumulation	USD Hedged	LU1281938719

### Schroder ISF Swiss Small & Mid Cap Equity

Share class	Share class currency	ISIN code
A Accumulation	CHF	LU0149524034
A1 Accumulation	CHF	LU0149538414
B Accumulation	CHF	LU0149524117
C Accumulation	CHF	LU0149524208
I Accumulation	CHF	LU0149524463

**Schroder ISF Sustainable Swiss Equity**

Share class	Share class currency	ISIN code
A Accumulation	CHF	LU0227177580
A1 Accumulation	CHF	LU0227178042
B Accumulation	CHF	LU0227177663
C Accumulation	CHF	LU0227177820
I Accumulation	CHF	LU0227178554
IZ Accumulation	CHF	LU2016221074