

美國證券交易委員會之證券交易交割時間調整公告

為因應美國證券交易委員會(U.S. Securities and Exchange Commission, “SEC”)最新規定，自 2024 年 5 月 28 日起，美股(含 ETF，以下同)及部分債券買賣款項收付日(即交割日)由 T+2 縮短為 T+1。亦即，以 T 日為成交日，美股或部分債券買單將於 T+1 營業日扣款，美股或部分債券賣單則將於 T+1 營業日交割，實際扣款及入帳日以保管銀行通知為主。請留意各項交易之產品說明書及/或申請書所載之作業規則及相關費用須知。

謹提供 SEC 公告之原文網址供參: <https://www.sec.gov/rules/2023/02/shortening-securities-transaction-settlement-cycle>

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Announcement of SEC Shortening the Settlement Cycle

Per the US Securities and Exchange Commission (“SEC”)’s latest adopted rule, SEC will shorten the standard settlement cycle of American securities (including ETF) and certain bonds from two business days after the trade date (“T+2”) to one business day after the trade date (“T+1”) with effect on May 28, 2024. For the avoidance of doubt, the payment deduction of a purchase order and the payment acceptance of a redemption order for US equities and the impacted bonds will be both on T+1 business day. The actual settlement date for deduction or credit is subject to custodian bank’s notification. Please pay attention to the operating rules and related fee instructions contained in the product prospectus and/or application form of respective transaction.

Please find the SEC website for reference:

<https://www.sec.gov/rules/2023/02/shortening-securities-transaction-settlement-cycle>

Wishing you a nice day!

Best regards,

HSBC (Taiwan) Bank Limited

Wealth Management