

投資產品/市場 Investment Product/Market	服務項目 Service Items	收費標準 Fee Charged Standard
1. 股票/指數股票型基金(Exchange Traded Funds)		
香港 HK Market	買賣手續費 Commission Fee	0.1% ~ 0.4% (按交易金額計算, 但不可低於500 港幣) Range from 0.16% to 0.4% of transaction amount with a minimum of HK\$500
	交易徵費(由香港證監會收取) Transaction Levy (Charged by HK SFC)	交易金額的 0.0027% 0.0027% of transaction amount
	財匯局交易徵費	交易金額的 0.00015% 0.0015% of transaction amount
	交易費(由香港聯交所收取) Trading Fee	交易金額的 0.005% 0.005% of transaction amount
	印花稅(由香港政府收取) Stamp Duty	每 1,000 港元交易金額(不足 1,000 港元部分以 1,000 港元計)收取 1 港幣 HK\$1 for every HK\$1,000 of transaction amount (or part thereof)
	保管費用(每季) Custodian Fee (quarterly)	市值的 0.025% ~ 0.0625% (以美元計價) Range from 0.025% to 0.0625% of market value (in USD)
	登記過戶費 Scrip Fee	每個交易單位於截止過戶日收取 1.5 港幣 HK\$1.50 per board lot on book closing date
	代收現金股息費 Collection of Cash Dividend	股息金額的 0.5%(最低 50 港幣; 最多 10,000 港幣) 0.5% of dividend amount with a minimum of HK\$50 but capped at HK\$10,000
	行使認股權費 Fee for Rights Exercise	每個交易單位0.8 港幣(最多10,000 港幣) HK\$0.80 per board lot (capped at HK\$10,000)
	提交股份費(在收購要約下) Fee for Tendering Shares under a Takeover Bid	每個交易單位0.8 港幣(最多10,000 港幣) HK\$0.80 per board lot (capped at HK\$10,000)

	集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out	0% ~ 0.4% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費。免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 0.4% (if the beneficial ownership does not change, the fee can be waived. Zero commission shall obtain approval from Department Head before trade execution.)
海外市場 Overseas Market	買賣手續費 Commission Fee	0.1% ~ 1% (按交易金額計算，但不可低於150美元) Range from 0.4% to 1% of transaction amount with a minimum of US\$150
	交易收費 Transaction Charge	50 美元 US\$50
	保管費用(每季) Custodian Fee (quarterly)	市值的 0.025% ~ 0.0625% (以美元計價) Range from 0.025% to 0.0625% of market value (in USD)
	代收股息 Collection of Dividend	依保管銀行實收費用收取 Out of pocket expenses
	集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out	0% ~ 1% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費) Range from 0% to 1% (if the beneficial ownership does not change, the transaction fee can be waived)
2. 債券 (Bonds)		
	買賣手續費 Commission Fees	0.1% ~ 1.5% (按交易面額計算) Range from 0.4% to 1% of face value
	保管費用(每季) Custodian Fees (Quarterly)	市值的 0.025% ~ 0.0625% (以美元計價) Range from 0.025% to 0.0625% of market value (in USD)
	代收債券利息 Collection of Bond Interests	免費 No charge
	集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out	0% ~ 1.5% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費)。免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 1% (if the beneficial ownership does not change, the transaction fee can be waived). Zero commission shall obtain approval from Department Head before trade execution.)
3. 境外共同基金 (Offshore Mutual Funds)		

	<p>申購手續費 Commission Fee</p>	<p>0.1% ~ 3% (按共同基金之投資金額計算；相關基金通路報酬說明，請參閱基金相關須知及說明) Range from 0.4% to 3% of investment amount. Please refer to Fund Prospectus for other channel fee disclosure.</p>
	<p>贖回及轉換手續費 Fund Redemption or Switch Fee</p>	<p>免費 No Charge</p>
	<p>保管費用(每季) Custodian Fees (Quarterly)</p>	<p>市值的 0.025% ~ 0.0625% (以美元計價) Range from 0.025% to 0.0625% of market value (in USD)</p>
	<p>集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out</p>	<p>0% ~ 3% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費)。免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 3%, if the beneficial ownership does not change, the commission fee can be waived. Zero commission shall obtain approval from Department Head before trade execution.)</p>
<p>4. 境外結構型商品 (Offshore Structured Notes)</p>		
	<p>不保本型境外結構型商品 (保本率小於95%) Non-Principal Floored Structured Note (floor redemption &lt; 95% or no floor)</p>	<p>0.1% ~ 4% (按申購面額計算，由發行機構從所收投資本金中扣除後給付予本行)</p> <ul style="list-style-type: none"> <li>• ≤ 1年，費用收取不得超過2.5%；</li> <li>• &gt; 1年，費用收取不得超過1.8% x 年限，且最高不超過4%。</li> </ul> <p>Range from 0.1% to 4% of the nominal amount of the trade. Fee is paid by Issuer after receiving investment principal</p> <ul style="list-style-type: none"> <li>• ≤ 1 year, fee is capped at 2.5%;</li> <li>• &gt; 1 year, fee is 1.8% x no. of years capped at 4%.</li> </ul>
	<p>保本型境外結構型商品 (保本率大於或等於95%) Principal Floored Structured Note (floor redemption ≥ 95%)</p>	<p>0.1% ~ 4% (按申購面額計算，由發行機構從所收投資本金中扣除後給付予本行)</p> <ul style="list-style-type: none"> <li>• ≤ 1年，費用收取不得超過1.2%；</li> <li>• &gt; 1年，費用收取不得超過0.9% x 年限，且最高不超過4%。</li> </ul> <p>Range from 0.1% to 4% of the nominal amount of the trade. Fee is paid by Issuer after receiving investment principal</p> <ul style="list-style-type: none"> <li>• ≤ 1 year, fee is capped at 1.2%;</li> <li>• &gt; 1 year, fee is 0.9% x no. of years capped at 4%.</li> </ul>

	<p>投資人提前贖回通路服務費用 Commission Fee on Early Redemption</p>	<p>0.1% to 1% (由發行機構從所應給付之款項中扣除後給付予本行。按投資人提前贖回價金收取)。 Range from 0.4% to 1% of the nominal amount of the trade. Fee is paid by Issuer after receiving early unwind payment.</p>
	<p>集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out</p>	<p>0% ~ 4% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣費用)。免收費用之交易皆須事先取得部門主管核准。 Range from 0% to 4%, if the beneficial ownership does not change, the commission fee can be waived. Zero commission shall obtain approval from Department Head before trade execution.)</p>
	<p>其他費用 Other Expenses</p>	<p>依不同市場及交易所規則因商品到期轉換為股票所產生，由本行代收轉付的外扣費用。例如：港股印花稅，收取時點為到期日等。 Potential Stamp Duty charges if a Structured Note is exercised to an equity</p>

(此表僅適用高資產營業部)